



S&amp;H Form: (2/01)

2633 \$

<b>REPLY/AMENDMENT FEE TRANSMITTAL</b>	Attorney Docket No.	837.1186	
	Application Number	09/168,688	
	Filing Date	October 9, 1998	
	First Named Inventor	Yoshitaka SHIMURA et al.	
	Group Art Unit	2633	
AMOUNT ENCLOSED	728.00	Examiner Name	D. Singh

**FEE CALCULATION (fees effective 10/01/00)**

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	42	- 21 =	21	X \$ 18.00 =	\$ 378.00
INDEPENDENT CLAIMS	6	- 3 =	3	X \$ 80.00 =	240.00
Since an Official Action set an <u>original</u> due date of <u>May 14, 2001</u> , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$390); 3 months (\$890); 4 months (\$1,390); 5 months (\$1,890)):					110.00
If Notice of Appeal is enclosed, add (\$310)					0.00
If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110)					0.00
Total of above Calculations =					\$ 728.00
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)					
TOTAL FEES DUE =					\$ 728.00

- (1) If entry (1) is less than entry (2), entry (3) is "0".  
(2) If entry (2) is less than 20, change entry (2) to "20".  
(4) If entry (4) is less than entry (5), entry (6) is "0".  
(5) If entry (5) is less than 3, change entry (5) to "3".

**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

on June 14, 2001  
STAS & HALSEY  
By: [Signature]  
Date: June 14, 2001

**GENERAL AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
- Deposit Account No. 19-3935
- Deposit Account Name STAAS & HALSEY LLP
- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC ' 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name	Paul I. Kravetz	Reg. No.	35,230
Signature	<u>[Signature]</u>	Date	<u>June 14, 2001</u>

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 837.1186 6.23.01

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In re the Application of:

Yoshitaka SHIMURA et al.

Serial No. 09/168,688

Group Art Unit: 2633

Confirmation No.

Filed: October 9, 1998

Examiner: D. Singh

For: OPTICAL SENDER, TERMINAL DEVICE, AND OPTICAL COMMUNICATION SYSTEM  
EACH HAVING THE OPTICAL SENDER

06/20/2001 SHINASS1 00000016 09168688

AMENDMENT

01 FC:103  
02 FC:102  
03 FC:115

378.00 OP  
240.00 OP  
110.00 OP

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is in response to the Office Action mailed February 14, 2001, and having a period for response set to expire on May 14, 2001. A Petition for a one-month extension of time, together with the requisite fee for same, is submitted herewith, thereby extending the period for response to June 14, 2001.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

**IN THE CLAIMS:**

Please ADD new claims in accordance with the following:

22. (AS NEW HEREIN) An optical sender comprising:

a light source outputting a light beam;

an optical modulator modulating said light beam in accordance with a main signal to output an optical signal; and

a shutting down device shutting down said optical signal when receiving at least one of a power alarm relating to on/off of power supply and a wavelength alarm relating to the wavelength of said light beam.

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